



Streamlining Shipping: Recommendations for Regulatory Reform

Introduction

By David O. Stewart, Ropes & Gray, LLP

At first glance, the regulatory requirements for the shipment of electronic gaming machines seem like a relatively small matter. After all, the rules concern only the *shipment* of gaming machines, not the vastly more complex business of ensuring that the games themselves are fair and reliable. That is, the entire scope of these state, provincial and tribal regulations is to control the process of moving machines from Point A to Point B. Though this regulatory effort covers a relatively simple activity, the varying requirements among different jurisdictions create a complex, costly administrative burden for manufacturers, operators and regulators alike.

Though this regulatory effort covers a relatively simple activity, the varying requirements among different jurisdictions create a complex, costly administrative burden for manufacturers, operators and regulators alike.

Background

Virtually all of the shipping rules aim to ensure: (i) that the only games and machines that are installed in a jurisdiction are those that have regulatory approval; and (ii) that those machines and games are not tampered with in transit. Both purposes flow from the fundamental goal of protecting consumers from unregulated or unfair games. Gaming licensees — whose survival depends upon maintaining their suitability-based licenses — fully support those purposes; indeed, they have every incentive, even without formal state or tribal regulations, to place only approved games and machines in each jurisdiction and to protect them while in transit.

Regulation should be tempered, of course, by an evaluation of its impact in the marketplace. Specifically, the burdens introduced should be balanced against how well regulations serve their purpose. In the marketplace, several of the common shipping rules in effect today do not serve their regulatory purpose well. Moreover, with more than 300 jurisdictions each applying its own unique set of regulations, the market presents daunting complexities which multiply costs without fully achieving regulatory objectives.

This regulatory system should be reformed to simplify the burden of compliance while providing the same or even enhanced regulatory control. Indeed, leading gaming jurisdictions such as Nevada, the United Kingdom, Italy and France impose no shipping rules.

The challenges of the current situation can be readily illustrated from the rules in effect for shipping slot machines:

- Within North America, there are 365 different sets of shipping regulations for different state, provincial and tribal gaming jurisdictions; the rules vary widely. (See Appendices).¹ For example:
 - Only about one-fourth of all jurisdictions allow the shipment of complete slot machines; the others require that software and hardware be shipped separately and assembled at an intermediate site or the final destination.
 - Roughly half of all jurisdictions insist that slot machines cannot be shipped into the jurisdiction without prior approval; roughly half do not.
 - More than half of the jurisdictions require that slot machines be shipped in trucks that carry only cargo going to a single destination.
 - Prior notice of slot machine shipments must be given to regulators in many jurisdictions, but the required notice period varies from one to 15 days, greatly complicating the timing of shipments from one jurisdiction to another.
- When printed out, the shipping regulations for North American jurisdictions fill more than 1,000 legal-sized pages.
- For five of the larger manufacturers of gaming machines, the shipping regulations generated nearly 61,000 separate regulatory filings over a recent 12-month period.
- Those same five manufacturers employ 29 full-time workers who do nothing but prepare and submit those nearly 61,000 filings. They are assisted and supervised by an additional 20 people who work part-time on shipping regulation matters.
- As many as 1.5 million different combinations of regulatory requirements can apply to the shipment of a single gaming machine in North America today.

This regulatory system should be standardized to simplify the burden of compliance while providing the same or even enhanced regulatory control. Indeed, leading gaming jurisdictions such as Nevada, the United Kingdom, Italy and France impose no shipping rules. Those regulators specifically regulate the games and machines that will be offered to the public and have the authority to examine every installed machine for

¹Appendices A-C reflect regulatory requirements for shipping of slot machines. Appendices D-F reflect the effective requirements for electronic gaming machines other than slot machines. Many state regulations do not distinguish between slot machines and other electronic gaming machines, yet some regulators apply different procedures to them. Consequently, some of the information in Appendices D-F is drawn from experience and verbal exchanges with regulators in specific jurisdictions, and is not recorded in any written standards.

compliance. They have concluded that gaming licensees have every incentive to ensure that shipments of gaming machines are efficient and secure. Those regulatory judgments suggest that other North American jurisdictions might also consider this practical approach to the regulation of gaming machine shipments. At the very least, however, specific shipping rules should be reconsidered and standardized. We propose seven specific enhancements to existing shipping rules. They represent modest changes that would create substantial efficiencies for regulators, manufacturers and operators, smoothing the flow of new products to consumers without materially reducing regulatory control over the games available in a jurisdiction.

Specific Shipping Rules

1. Allow Shipment of Complete Machines — Roughly three-fourths of North American jurisdictions do not allow the shipment of complete gaming machines. Instead, individual components must be shipped separately into those jurisdictions and assembled either at some intermediate location, or at the venue of the end user. This requirement has the unintended effect of creating greater risk of errors in the shipment and installation of the gaming machines:

- Rather than being assembled at the factory, under the complete control of the manufacturer under uniform processes, the component elements of the gaming machine must be assembled at a foreign site, often with contract labor and under less controlled conditions.
- Separate shipment of different components introduces greater complexity in scheduling, since multiple deliveries must be made (along with pre-delivery notice to the regulatory body for each separate delivery), and thus increases the risk that the wrong item may be delivered at the wrong time.

Nearly 90 North American jurisdictions allow the shipment of complete gaming machines. They have found it introduces important efficiencies, as regulatory staff is freed to perform necessary authentication and verification of complete gaming devices without having to trace individual components to each gaming device. This regulatory enhancement benefits regulators, manufacturers and operators alike.

2. Establish A Uniform Advance Notice Period for Shipments — All but 20 North American jurisdictions require that manufacturers provide advance notice that a slot machine is being shipped into or out of the jurisdiction. Those 345 jurisdictions impose 10 different advance notice periods, ranging from one day to 15 days. Roughly one-fourth of those jurisdictions use a five-day notice period, but almost as many require 10 days notice, while more than 50 jurisdictions require 14 or 15 days notice.

Nearly 90 North American jurisdictions allow the shipment of complete gaming machines. They have found it introduces important efficiencies, as regulatory staff is freed to perform necessary authentication and verification of complete gaming devices without having to trace individual components to each gaming device. This regulatory enhancement benefits regulators, manufacturers and operators alike.

When regulators bar the aggregation of shipments in a single truckload, they mandate multiple roundtrips to neighboring customers, imposing substantial additional costs in scheduling, fuel and labor.

At the other end of the spectrum, about 30 jurisdictions demand only one-day notice. These variations complicate the process of scheduling deliveries to and from jurisdictions with different notice requirements.

Regulators ordinarily impose the notice provision either to ensure that a regulatory official will be present at the delivery, or to give themselves time to review the notice itself. Currently, almost half of all North American jurisdictions require a notice period of five days or less. If other jurisdictions would adopt comparable notice periods of no longer than five days, the simplification and economies for licensees would be substantial and would not reduce regulatory effectiveness.

3. Allow Shipment Without Express Prior Authorization — A majority of North American jurisdictions apply a simple prior notice requirement to slot machine shipments; a substantial minority of jurisdictions, however, insist that no shipment can proceed without express prior authorization by the regulatory body. Many times, in the ordinary course of regulatory business, those prior authorizations are delayed, and the length of each delay is unpredictable. When those variable delays are added to the prior notice period, the scheduling of shipments becomes even more difficult, as does coordinating shipments with the prior notice requirements of neighboring or originating jurisdictions. Removing the prior authorization requirement impairs no material regulatory interest. If the regulatory body wishes to have a representative present at time of installation of the machine, or encounters any other concern regarding the shipment, the equipment can be held at the destination venue for a period of time defined by the regulator. The removal of express advance authorization also reduces the administrative burden on the regulatory agency, as personnel can be shifted to address only those shipments which the agency believes warrant such attention.

4. Allow Shipments Directly to Customers and to Multiple Customers — Seventeen North American jurisdictions currently do not allow the shipment of slot machines directly to the end user, but instead require that machines be shipped first to a regulatory agency or a staging facility. That requirement exponentially complicates the shipping process and delays the arrival of new products, while swelling shipping expenses. Those substantial challenges explain why this requirement is so rare; we urge that it be reconsidered and withdrawn in the few jurisdictions where it remains in effect.

Regulatory jurisdictions in North America are about evenly divided on whether they allow shipments to different customers to be included as part of the same truckload. When regulators bar the aggregation of shipments in a single truckload, they mandate multiple roundtrips to neighboring customers, imposing substantial additional costs in scheduling, fuel and labor. Those additional costs cannot be justified by any perceived nominal increase in regulatory control achieved by requiring separate shipments.

5. Exempt Non-Gaming Components from Prior Notice Requirements — Electronic gaming machines have a number of components that play no role in the playing of the game: items like bill validators, printers (for ticket-in/ticket-out machines), back-office systems that record player activity for reward programs, progressive jackpot meters and even signage. The policy concerns that underlie shipping rules fade dramatically when dealing with non-gaming components that have no effect on the play of the games; there is much less reason for concern that non-gaming components will be tampered with, and no reason to fear that a non-approved game will be delivered into the jurisdiction. About one-third of the North American jurisdictions exempt non-gaming components from any prior notice requirement; the others should adopt that practical approach.

6. Remove the Requirement of Prior Notice Before Removing Slot Machines — More than half of the gaming jurisdictions in North America require prior notice that slot machines will be shipped *out* of the jurisdiction. Prior notice in those situations, however, does not serve the public interest in ensuring that only approved games are offered in that jurisdiction; the machines, after all, are leaving the jurisdiction. Accordingly, regulators should require notice only after the machines have been removed, which will give them an accurate inventory of the games on casino floors. That approach facilitates the shipment of machines at those times when transportation is readily available and reduces the administrative burden on regulators, manufacturers and operators.

7. Allow Shipment Notices to be Delivered Either Electronically or Via E-mail to a Single Regulatory Official — Virtually all North American jurisdictions allow manufacturers to give notice of shipments via e-mail; a few regulators accept such notice through their websites. The remaining jurisdictions should adopt at least one of these practices. Electronic and e-mail notices leave an electronic record that can be aggregated, stored economically and audited if necessary.

In addition, some regulatory agencies require that notice be provided to multiple officials within their organization. This is unnecessarily duplicative and burdensome for licensees. It should be sufficient for a licensee to provide a single notice to the regulatory agency, which then can forward the notice as it sees fit.

Conclusion

Although the practices of leading gaming jurisdictions suggest that effective gaming regulation does not require shipping regulations at all, the specific moves toward standardization proposed here represent incremental changes that would create important efficiencies for regulatory agencies, manufacturers and operators, would not reduce the effectiveness of regulation, and would speed the delivery of new products to the gaming public.

Appendix A: Shipping Rules for Slot Machines – State Authorities

Area	Agency	Advance Notice Required?	# of Days Advance Notice Required	Express Authorization Required Before Shipment?	Allow to Ship Complete?
Arkansas	Arkansas State Tracks	Yes	5	No	No
Colorado	Colorado Service Center	No	0	No	Yes
	Colorado State	Yes	0	No	Yes
Delaware	Delaware Service Center	Yes	5	Yes	Yes
	Delaware State Lottery	Yes	5	Yes	Yes
Florida	Florida Service Center	No	0	No	Yes
	Florida State Tracks	Yes	5	Yes	No
Georgia	Georgia State	No	0	No	Yes
Illinois	Illinois Service Center	Yes	1	No	No
	Illinois State Riverboat	Yes	10	No	No
	Illinois Video Gaming	Yes	14	No	No
Indiana	Indiana State	Yes	1	No	No
Iowa	Iowa State	Yes	5	No	Yes
Kansas	Kansas State Lottery	Yes	15	Yes	No
Louisiana	Louisiana Service Center	Yes	10	Yes	No
	Louisiana State Cruise	Yes	10	Yes	No
	Louisiana State Land-Based	Yes	10	Yes	No
	Louisiana State Lottery	Yes	5	Yes	Yes
	Louisiana State Riverboat	Yes	10	Yes	No
	Louisiana State Tracks	Yes	10	Yes	No
Maine	Maine State	Yes	14	Yes	No
Maryland	Maryland State	Yes	1	No	Yes
Michigan	Michigan Service Center	No	0	No	Yes
	Michigan State	Yes	1	No	Yes
Mississippi	Mississippi Service Center Northern (Tunica)	Yes	5	Yes	No
	Mississippi Service Center, Southern (Gulfport)	Yes	5	Yes	No
	Mississippi State Charitable	Yes	5	Yes	No
	Mississippi State Riverboat & Electronic Video Bingo	Yes	5	Yes	No
Missouri	Missouri Service Center	Yes	5	Yes	No
	Missouri State Riverboat	Yes	5	Yes	No
Montana	Montana State	Yes	7	No	Yes
Nevada	Nevada State	No	0	No	No
New Jersey	New Jersey Service Center	Yes	1	No	Yes
	New Jersey State	Yes	1	No	Yes
New Mexico	New Mexico Service Center	Yes	3	Yes	No
	New Mexico State Lottery	Yes	3	Yes	No
	New Mexico State Tracks	Yes	0	Yes	No
New York	New York Service Center (Lottery Shipments)	Yes	5	Yes	No
	New York State Gaming Commission	Yes	5	Yes	No
North Carolina	North Carolina Raffle	No	0	No	No
Ohio	Ohio Lottery	Yes	5	Yes	Yes
	Ohio State	Yes	7	Yes	No
Oklahoma	Oklahoma State Tracks	Yes	5	No	No
Oregon	Oregon State Lottery	Yes	7	Yes	No
Pennsylvania	Pennsylvania State	Yes	1	No	Yes
Rhode Island	Rhode Island State Lottery	Yes	7	Yes	No
South Dakota	South Dakota State	Yes	1	No	Yes
	South Dakota State Lottery	Yes	4	No	Yes
West Virginia	West Virginia Service Center (Limited Lottery Shipments)		2	Yes	Yes
	West Virginia Service Center (Lottery Shipments)	Yes	10	Yes	No
	West Virginia State Limited Lottery	Yes	2	Yes	Yes
	West Virginia State Lottery	Yes	10	Yes	No

Allow Shipment Directly to Customer?	Require Dedicated Truck?	Notice Required Before Removal?	Notice Required on Non-Gaming Components?	Permissible Vehicles for Notice Submission			
				Upload to Electronic Database	Send via E-mail	Send via Fax	Send Hard Copy through Mail
No	No	Yes	Yes		.	.	
Yes	No	No	No				
Yes	No	No	No	.			
Yes	No	Yes	No				
Yes	No	Yes	No		.		
Yes	No	No	No				
No	Yes	Yes	Yes		.		
Yes	No	No	No				
Yes	No	Yes	Yes		.		
Yes	No	Yes	Yes		.		
No	No	Yes	Yes		.		
Yes	Yes	Yes	Yes	.	.		
Yes	No	Yes	No		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	No		.		
Yes	Yes	No	No		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	Yes		.		
Yes	No	No	No				
Yes	No	Yes	No		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	No		.		
Yes	No	Yes	No		.		
Yes	No	Yes	No		.		
Yes	No	No	Yes				
Yes	No	No	No				
Yes	No	Yes	Yes		.		
Yes	No	Yes	No		.		
Yes	Yes	Yes	Yes	.	.		
Yes	No	Yes	Yes	.	.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	No		.		
Yes	No	Yes	No		.		
Yes	No	Yes	No		.		
Yes	Yes	Yes	No			.	
Yes	No	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		

Appendix B: Shipping Rules for Slot Machines – Tribal Authorities

Area	Agency	Advance Notice Required?	# of Days Advance Notice Required	Express Authorization Required Before Shipment?	Allow to Ship Complete?
Alabama	Poarch Creek Indians	Yes	5	No	Yes
Arizona	Ak-Chin Indian Community	Yes	5	No	No
	Ak-Chin Indian Community Class II	Yes	5	No	No
	Arizona Service Center	No	0	No	No
	Arizona State Tribal	Yes	5	No	No
	Cocopah Indian Tribe	Yes	5	No	No
	Colorado River Indian Tribes	Yes	5	No	No
	Fort McDowell Yavapai Nation	Yes	5	No	No
	Fort Mojave Indian Tribe	Yes	5	No	No
	Fort Yuma Quechan Tribe	Yes	5	No	No
	Gila River Indian Community	Yes	5	No	No
	Navajo Nation	Yes	15	No	No
	Pascua Yaqui Tribe	Yes	5	No	No
	Salt River Pima-Maricopa Indian Community	Yes	5	No	No
	San Carlos Apache Tribe	Yes	5	No	No
	Tohono O'Odham Nation	Yes	5	No	No
	Tonto Apache Tribe	Yes	5	No	No
	White Mountain Apache Tribe	Yes	5	No	No
	Yavapai-Apache Nation	Yes	5	No	No
	Yavapai-Prescott Indian Tribe	Yes	5	No	No
California	Agua Caliente Band of Cahuilla Indians	Yes	15	Yes	No
	Alturas Rancheria	Yes	12	Yes	No
	Augustine Band of Cahuilla Missions Indians	Yes	14	Yes	No
	Barona Band of Mission Indians	Yes	14	Yes	No
	Bear River Band of Rohnerville Rancheria	Yes	15	Yes	Yes
	Berry Creek Rancheria of Maidu Indians	Yes	10	Yes	No
	Big Sandy Rancheria of Mono Indians	Yes	10	Yes	No
	Big Valley Rancheria of Pomo Indians	Yes	10	Yes	No
	Bishop Paiute Tribe	Yes	15	Yes	No
	Blue Lake Rancheria Tribe	Yes	10	Yes	No
	Cabazon Band of Mission Indians	Yes	10	Yes	No
	Cahto Tribe	Yes	10	Yes	No
	Cahuilla Band of Indians	Yes	14	Yes	No
	California Service Center	Yes	10	Yes	Yes
	California State Tribal	Yes	15	Yes	No
	Campo Kumeyaay Nation	Yes	12	Yes	No
	Cashil Dehe Band of Wintun Indians	Yes	15	Yes	No
	Chemehuevi Indian Tribe	Yes	15	Yes	No
	Chicken Ranch Band of Me-Wuk Indians	Yes	10	Yes	Yes
	Coyote Valley Tribe	Yes	10	Yes	No
	Dry Creek Rancheria Band of Pomo Indians	Yes	15	Yes	No
	Elk Valley Rancheria	Yes	15	Yes	No
	Fort Yuma Quechan Tribe	Yes	15	Yes	No
	Hoopa Valley Tribe	Yes	10	Yes	No
	Hopland Band of Pomo Indians	Yes	10	Yes	No
	Jackson Rancheria Band of Miwuk Indians	Yes	12	Yes	No
	La Jolla Band of Luiseño Indians	Yes	10	Yes	No
	La Posta Band of Diegueno Mission Indians	Yes	14	Yes	Yes
	Lytton Rancheria Band of Pomo Indians Class II	Yes	14	No	Yes
	Manchester Band of Pomo Indians	Yes	15	No	No
	Middletown Rancheria Band of Pomo Indians	Yes	15	Yes	No
	Mooretown Rancheria of Maidu Indians	Yes	15	Yes	No
	Morongo Band of Mission Indians	Yes	11	Yes	No
	Morongo Band of Mission Indians Class II	Yes	1	No	Yes
	Pala Band of Mission Indians	Yes	15	Yes	No
	Pala Band of Mission Indians Class II	Yes	5	No	No
	Paskenta Band of Nomlaki Indians	Yes	10	Yes	No
	Pauma Yuima Band of Mission Indians	Yes	14	Yes	No
	Pechanga Band of Luiseño Indians Class II	Yes	15	No	Yes

Appendix B: Shipping Rules for Slot Machines – Tribal Authorities (Continued)

Area	Agency	Advance Notice Required?	# of Days Advance Notice Required	Express Authorization Required Before Shipment?	Allow to Ship Complete?
California	Pechanga Band of Luiseño Indians Class II & III	Yes	15	Yes	No
	Picayune Rancheria of the Chukchansi Indians	Yes	15	Yes	No
	Picayune Rancheria of the Chukchansi Indians Class II	Yes	15	Yes	No
	Pit River Tribe	Yes	10	Yes	Yes
	Redding Rancheria	Yes	17	Yes	No
	Resighini Rancheria	Yes	10	Yes	No
	Rincon Band of Luiseño Indians	Yes	14	Yes	No
	Rincon Band of Luiseño Indians Class II	Yes	5	No	No
	Robinson Rancheria Pomo Indians	Yes	10	Yes	No
	San Manuel Band of Mission Indians	Yes	14	Yes	No
	San Manuel Band of Mission Indians Class II	Yes	14	Yes	No
	San Pasqual Band of Mission Indians	Yes	10	Yes	No
	San Pasqual Band of Mission Indians Class II	Yes	1	No	Yes
	Santa Rosa Rancheria (Tachi Yokut Tribe)	Yes	10	Yes	No
	Santa Rosa Rancheria (Tachi Yokut Tribe) Class II	Yes	10	Yes	No
	Santa Ynez Band of Chumash Indians Class II & III	Yes	10	Yes	No
	Santa Ysabel Band of Diegueño Indians	Yes	14	Yes	No
	Sherwood Valley Rancheria of Pomo Indians	Yes	10	Yes	No
	Shingle Springs Band of Miwok Indians	Yes	15	Yes	No
	Smith River Rancheria	Yes	14	Yes	No
	Soboba Band of Luiseño Indians	Yes	10	Yes	No
	Susanville Indian Rancheria	Yes	10	Yes	Yes
	Sycuan Band of the Kumeyaay Nation	Yes	14	Yes	Yes
	Sycuan Band of the Kumeyaay Nation Class II	Yes	15	No	Yes
	Table Mountain Rancheria	Yes	15	Yes	No
	Torres Martinez Desert Cahuilla Indians	Yes	15	Yes	No
	Trinidad Rancheria (Cher-Ae Heights Indian Community)	Yes	14	Yes	No
	Tule River Tribe	Yes	10	Yes	No
	Tuolumne Band of Me-Wuk Indians	Yes	12	Yes	No
	Twenty-Nine Palms Band of Mission Indians	Yes	15	Yes	No
	United Auburn Indian Community	Yes	14	Yes	Yes
	United Auburn Indian Community Class II	Yes	14	No	Yes
	Viejas Band of Kumeyaay Indians	Yes	14	Yes	No
	Yocha Dehe Wintun Nation	Yes	14	Yes	Yes
Yocha Dehe Wintun Nation Class II	Yes	14	No	Yes	
Colorado	Southern Ute Indian Tribe	Yes	0	No	Yes
	Ute Mountain Ute Tribe	Yes	15	No	No
Connecticut	Connecticut State Tribal	Yes	5	No	Yes
	Mashantucket Pequot Tribal Nation	Yes	5	No	Yes
	Mohegan Tribe	Yes	5	No	Yes
Florida	Miccosukee Tribe of Indians of Florida Class II	Yes	5	Yes	No
	Seminole Tribe of Florida	Yes	2	No	Yes
	Seminole Tribe of Florida Class II	Yes	2	No	Yes
Idaho	Coeur d'Alene Tribe	Yes	5	No	Yes
	Kootenai Tribe of Idaho	Yes	1	No	No
	Nez Perce Tribe	Yes	1	Yes	No
	Shoshone-Bannock Tribes	Yes	5	Yes	No
	Omaha Tribe of Nebraska	Yes	10	No	No
Iowa	Sac & Fox Tribe of the Mississippi in Iowa (Meskwaki Nation)	Yes	5	No	No
	Winnebago Tribe of Nebraska	Yes	7	Yes	No
	Iowa Tribe of Kansas & Nebraska	Yes	14	No	No
Kansas	Kansas State Tribal	Yes	0	No	No
	Kickapoo Tribe in Kansas	Yes	14	No	No
	Prairie Band Potawatomi Nation	Yes	7	No	No
	Sac & Fox Nation of the Missouri in Kansas & Nebraska	Yes	14	No	No
	Wyandotte Nation of Oklahoma for Kansas Class II	Yes	10	No	No

Allow Shipment Directly to Customer?	Require Dedicated Truck?	Notice Required Before Removal?	Notice Required on Non-Gaming Components?	Permissible Vehicles for Notice Submission			
				Upload to Electronic Database	Send via E-mail	Send via Fax	Send Hard Copy through Mail
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	Yes		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	No		.		
Yes	No	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	No		.		
Yes	No	No	Yes		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	No		.		
Yes	No	No	Yes		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	No	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	No		.	.	
Yes	Yes	Yes	Yes		.	.	
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	No	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	Yes		.		

Appendix B: Shipping Rules for Slot Machines – Tribal Authorities (Continued)

Area	Agency	Advance Notice Required?	# of Days Advance Notice Required	Express Authorization Required Before Shipment?	Allow to Ship Complete?	
Louisiana	Chitimacha Tribe of Louisiana	Yes	10	Yes	No	
	Coushatta Tribe of Louisiana	Yes	7	Yes	No	
	Jena Choctaw Gaming Commission	Yes	5	Yes	Yes	
	Louisiana State Tribal	Yes	10	Yes	No	
	Tunica-Biloxi Tribe of Louisiana	Yes	10	Yes	No	
Michigan	Bay Mills Indian Community	Yes	3	No	No	
	Grand Traverse Band of Ottawa & Chippewa Indians	Yes	10	No	No	
	Gun Lake Tribe (Match-E-Be-Nash-She-Wish Band of Pottawatomi Indians)	Yes	5	No	No	
	Hannahville Indian Community	Yes	5	No	No	
	Keweenaw Bay Indian Community	Yes	7	No	Yes	
	Lac Vieux Desert Band of Lake Superior Chippewa Indians	Yes	5	No	No	
	Little River Band of Ottawa Indians	Yes	7	No	No	
	Little Traverse Bay Bands of Odawa Indians	Yes	5	No	No	
	Nottawaseppi Huron Band of the Potawatomi	Yes	5	No	No	
	Pokagon Band of Potawatomi Indians	Yes	5	Yes	No	
	Saginaw Chippewa Indian Tribe	Yes	7	No	No	
	Sault Tribe of Chippewa Indians	Yes	7	No	No	
	Minnesota	Bois Forte Band of Chippewa	Yes	7	No	No
		Fond du Lac Band of Lake Superior Chippewa	Yes	5	No	Yes
Grand Portage Band of Lake Superior Chippewa		Yes	7	Yes	No	
Leech Lake Band of Lake Superior Chippewa		Yes	14	No	No	
Lower Sioux Indian Community		Yes	10	No	No	
Mille Lacs Band of Ojibwe		Yes	5	No	No	
Minnesota Service Center		Yes	1	No	Yes	
Minnesota State Tribal		Yes	1	No	Yes	
Prairie Island Indian Community		Yes	7	No	No	
Red Lake Band of Chippewa Indians		Yes	10	No	No	
Shakopee Mdewakanton Sioux (Dakota) Community		Yes	5	No	Yes	
Upper Sioux Community		Yes	1	No	Yes	
White Earth Nation		Yes	3	No	No	
Mississippi		Mississippi Band of Choctaw Indians	Yes	5	No	No
Montana	Crow Tribe	No	0	No	Yes	
	Montana State Tribal	Yes	0	No	Yes	
New Mexico	Acoma Pueblo	Yes	5	No	No	
	Jicarilla Apache Nation	Yes	14	No	No	
	Mescalero Apache Tribe Class II & III	Yes	14	No	No	
	Navajo Nation	Yes	15	Yes	No	
	New Mexico State Tribal	No	0	No	Yes	
	Ohkay Owingeh Pueblo	Yes	5	No	No	
	Pueblo of Isleta	Yes	7	No	No	
	Pueblo of Laguna	Yes	5	No	No	
	Pueblo of Pojoaque	Yes	5	No	No	
	Pueblo of San Felipe	Yes	5	No	No	
	Pueblo of Sandia	Yes	5	No	No	
	Pueblo of Santa Ana	Yes	5	No	No	
	Pueblo of Tesuque	Yes	10	No	No	
	Santa Clara Pueblo	Yes	10	No	No	
Taos Pueblo	Yes	5	No	No		
New York	New York State Tribal	Yes	1	No	Yes	
	Oneida Indian Nation	Yes	5	No	No	
	Seneca Nation of Indians	Yes	5	Yes	Yes	
	St. Regis Mohawk Tribe	Yes	5	No	Yes	
	St. Regis Mohawk Tribe Class II	Yes	3	No	Yes	
North Carolina	Eastern Band of Cherokee Indians	Yes	10	No	No	
	North Carolina State Tribal	No	0	No	Yes	

Appendix B: Shipping Rules for Slot Machines – Tribal Authorities (Continued)

Area	Agency	Advance Notice Required?	# of Days Advance Notice Required	Express Authorization Required Before Shipment?	Allow to Ship Complete?
North Dakota	North Dakota State Tribal	Yes	2	No	Yes
	Sisseton-Wahpeton Oyate	Yes	5	No	Yes
	Spirit Lake Nation	Yes	2	No	Yes
	Standing Rock Sioux Tribe	Yes	5	No	No
	Three Affiliated Tribes (MHA Nation)	Yes	2	No	Yes
	Turtle Mountain Band of Chippewa Indians	Yes	10	No	Yes
Oklahoma	Absentee Shawnee Tribe	Yes	7	Yes	No
	Apache Tribe of Oklahoma	Yes	10	No	No
	Cherokee Nation of Oklahoma	Yes	7	No	No
	Cheyenne and Arapaho Tribes	Yes	5	No	No
	Chickasaw Nation	Yes	5	No	No
	Choctaw Nation	Yes	7	No	No
	Citizen Potawatomi Nation	Yes	5	Yes	No
	Comanche Nation	No	0	Yes	No
	Delaware Nation	Yes	5	No	No
	Eastern Shawnee Tribe	Yes	10	No	No
	Fort Sill Apache Tribe	Yes	10	Yes	No
	Iowa Tribe of Oklahoma	Yes	7	No	No
	Kaw Nation	Yes	10	No	No
	Kickapoo Tribe of Oklahoma	Yes	7	No	No
	Kiowa Tribe of Oklahoma	Yes	7	No	No
	Miami Tribe of Oklahoma	Yes	10	No	No
	Modoc Tribe of Oklahoma	Yes	5	No	Yes
	Muscogee (Creek) Nation	Yes	10	No	No
	Oklahoma Service Center	No	0	No	Yes
	Oklahoma State Tribal	Yes	0	No	Yes
	Osage Nation	Yes	10	No	No
	Otoe-Missouria Tribe	Yes	10	Yes	No
	Ottawa Tribe of Oklahoma	Yes	10	No	No
	Pawnee Nation of Oklahoma	Yes	7	No	No
	Peoria Tribe of Indians	Yes	10	No	No
	Ponca Tribe of Oklahoma	Yes	5	No	No
	Quapaw Tribe of Oklahoma	Yes	10	No	No
	Sac and Fox Nation of Oklahoma	Yes	0	Yes	No
	Seminole Nation of Oklahoma	Yes	10	Yes	No
	Seneca Cayuga Tribe	Yes	10	No	No
	Thlopthlocco Tribal Town	Yes	10	No	No
	Tonkawa Tribe	Yes	10	No	No
Wichita & Affiliated Tribes	Yes	10	No	No	
Wyandotte Nation of Oklahoma	Yes	10	No	No	
Oregon	Burns Paiute Tribe	Yes	5	No	No
	Confederated Tribes of Grand Ronde	Yes	5	No	No
	Confederated Tribes of Siletz Indians	Yes	10	No	No
	Confederated Tribes of the Coos, Lower Umpqua & Siuslaw Indians	Yes	10	No	No
	Confederated Tribes of the Umatilla Indian Reservation	Yes	5	No	No
	Confederated Tribes of Warm Springs	Yes	10	Yes	No
	Coquille Indian Tribe	Yes	5	Yes	No
	Cow Creek Band of Umpqua Tribe of Indians	Yes	5	No	No
	Klamath Tribes	Yes	5	No	No
	Oregon State Tribal	Yes	10	Yes	No
South Dakota	Crow Creek Sioux Tribe	Yes	1	No	Yes
	Flandreau Santee Sioux Tribe	Yes	1	No	No
	Lower Brule Sioux Tribe	Yes	1	No	Yes
	Oglala Sioux Tribe	Yes	1	No	No
	Rosebud Sioux Tribe	Yes	10	No	No
	Sisseton-Wahpeton Oyate	Yes	1	No	Yes
	South Dakota State Tribal	Yes	1	No	Yes
	Standing Rock Sioux Tribe	Yes	5	No	No
Yankton Sioux Tribe	Yes	1	No	No	

Allow Shipment Directly to Customer?	Require Dedicated Truck?	Notice Required Before Removal?	Notice Required on Non-Gaming Components?	Permissible Vehicles for Notice Submission			
				Upload to Electronic Database	Send via E-mail	Send via Fax	Send Hard Copy through Mail
Yes	No	Yes	No		.		
Yes	No	Yes	No		.		
Yes	Yes	Yes	Yes				
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	Yes				
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		.
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	No	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	No	Yes		.		
Yes	Yes	Yes	No		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	Yes		.		
Yes	No	No	No				
Yes	No	No	No				
Yes	No	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	No		.		
Yes	No	Yes	No		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	Yes		.		
Yes	No	Yes	Yes		.		
Yes	Yes	Yes	Yes		.		
Yes	No	Yes	No		.		
Yes	No	Yes	No		.		
Yes	Yes	Yes	No		.		
Yes	No	Yes	No		.		
Yes	No	Yes	No		.		
Yes	No	Yes	No		.		
Yes	Yes	Yes	Yes		.	.	
Yes	No	Yes	No		.		

Appendix B: Shipping Rules for Slot Machines – Tribal Authorities (Continued)

Area	Agency	Advance Notice Required?	# of Days Advance Notice Required	Express Authorization Required Before Shipment?	Allow to Ship Complete?
Texas	Kickapoo Traditional Tribe of Texas	Yes	7	Yes	No
Washington	Chehalis Tribe	Yes	5	Yes	No
	Confederated Tribes of the Colville Reservation	Yes	5	Yes	No
	Jamestown S’Klallam Tribe	Yes	7	Yes	No
	Kalispel Tribe of Indians	Yes	10	Yes	No
	Lummi Nation	Yes	5	Yes	No
	Muckleshoot Indian Tribe	Yes	14	Yes	No
	Nisqually Indian Tribe	Yes	7	Yes	No
	Nooksack Indian Tribe	Yes	14	Yes	No
	Port Gamble S’Klallam Tribe	Yes	7	Yes	No
	Puyallup Tribe of Indians	Yes	5	Yes	No
	Quinault Indian Nation	Yes	5	Yes	No
	Shoalwater Bay Indian Tribe	Yes	7	Yes	No
	Skokomish Indian Tribe	Yes	7	Yes	No
	Snoqualmie Tribe	Yes	5	Yes	Yes
	Spokane Tribe	Yes	7	No	Yes
	Squaxin Island Tribe	Yes	14	Yes	Yes
	Stillaguamish Tribe of Indians	Yes	7	Yes	No
	Suquamish Tribe	Yes	1	Yes	No
	Swinomish Indian Tribal Community	Yes	14	Yes	No
	Tulalip Tribes	Yes	5	Yes	No
	Upper Skagit Tribe	Yes	14	Yes	No
	Washington State Tribal	Yes	1	Yes	Yes
	Washinton Service Center	Yes	1	Yes	Yes
	Yakama Nation	Yes	1	Yes	No
Wisconsin	Bad River Band of the Lake Superior Tribe of Chippewa Indians	Yes	10	No	Yes
	Forest County Potawatomi Community	Yes	10	No	No
	Ho-Chunk Nation	Yes	10	No	No
	Lac Courte Oreilles Band of Lake Superior Chippewa	Yes	10	No	No
	Lac du Flambeau Band of Lake Superior Chippewa Indians	Yes	5	No	No
	Menominee Indian Tribe of Wisconsin	Yes	10	No	No
	Oneida Nation of Wisconsin	Yes	10	No	No
	Red Cliff Band of Lake Superior Chippewa	Yes	10	Yes	No
	Sokaogon Chippewa Community	Yes	10	No	Yes
	St. Croix Chippewa Indians of Wisconsin	Yes	15	No	No
	Stockbridge-Munsee Community (Band of Mohican Indians)	Yes	10	No	Yes
	Wisconsin State Tribal	Yes	10	No	Yes
Wyoming	Eastern Shoshone Tribe	Yes	7	Yes	No
	Northern Arapaho Tribe	Yes	5	No	Yes
	Northern Arapaho Tribe Class II	Yes	5	No	Yes

Allow Shipment Directly to Customer?	Require Dedicated Truck?	Notice Required Before Removal?	Notice Required on Non-Gaming Components?	Permissible Vehicles for Notice Submission			
				Upload to Electronic Database	Send via E-mail	Send via Fax	Send Hard Copy through Mail
Yes	Yes	No	Yes		•		
Yes	No	Yes	No		•		
Yes	No	Yes	Yes		•		
Yes	Yes	Yes	Yes		•		
Yes	No	Yes	No		•		
Yes	Yes	Yes	No		•		
Yes	Yes	Yes	No		•		
Yes	No	Yes	Yes		•		
Yes	No	Yes	No		•		
Yes	No	Yes	Yes		•		
Yes	Yes	Yes	Yes		•		
Yes	No	Yes	No		•		
Yes	No	Yes	No		•		
No	No	Yes	No		•		
Yes	No	Yes	Yes		•		
Yes	Yes	No	No		•		
Yes	No	No	No		•		
Yes	Yes	Yes	No		•		
Yes	No	Yes	No		•		
Yes	No	Yes	Yes		•		
Yes	No	No	No		•		
Yes	No	Yes	No		•		
Yes	No	Yes	No		•		
Yes	No	No	No		•		
Yes	No	Yes	Yes		•		
Yes	No	Yes	Yes		•		
Yes	Yes	No	Yes	•	•	•	
Yes	No	No	Yes	•	•		
Yes	Yes	No	Yes	•	•		
Yes	Yes	No	Yes	•	•		
Yes	Yes	No	Yes	•	•		
Yes	Yes	No	Yes	•	•		
Yes	Yes	No	Yes	•	•		
Yes	No	No	No	•			
Yes	Yes	Yes	Yes		•	•	
Yes	No	No	Yes		•	•	

Appendix C: Shipping Rules for Slot Machines – Other North American Jurisdictions

Area	Agency	Advance Notice Required?	# of Days Advance Notice Required	Express Authorization Required Before Shipment?	Allow to Ship Complete?
Bahamas	Bahamas	Yes	0	No	Yes
Canada	Alberta Lottery	Yes	5	No	No
	British Columbia Lottery	Yes	0	No	No
	Manitoba	Yes	1	No	No
	New Brunswick	Yes	5	Yes	No
	Nova Scotia	Yes	1	No	Yes
	Ontario	Yes	1	No	No
	Quebec	No	0	No	Yes
	Quebec Lottery	Yes	7	No	Yes
	Saskatchewan	Yes	10	No	No
	Saskatchewan Lottery	Yes	10	No	No
Puerto Rico	Puerto Rico State	Yes	15	Yes	No
Virgin Islands	U.S. Virgin Islands (St. Croix) State	Yes	1	No	No

Allow Shipment Directly to Customer?	Require Dedicated Truck?	Notice Required Before Removal?	Notice Required on Non-Gaming Components?	Permissible Vehicles for Notice Submission			
				Upload to Electronic Database	Send via E-mail	Send via Fax	Send Hard Copy through Mail
Yes	No	No	No				
No	Yes	Yes	Yes		•		
Yes	No	No	Yes		•		
Yes	Yes	No	No		•		
Yes	No	No	Yes		•		
Yes	Yes	No	Yes		•		
Yes	Yes	Yes	No		•		
Yes	No	No	No		•		
Yes	No	No	No		•		
Yes	Yes	No	Yes		•		
Yes	Yes	No	Yes		•		
Yes	No	Yes	Yes		•		
Yes	No	Yes	No				

Appendix D: Shipping Rules for Other Electronic Gaming Machines – State Authorities

Area	Agency	Written Requirements Include PTG*, Shufflers and ETS*?			Advance Notice Required?			Number of Days Advance Notice Required		
		PTG	Shufflers	ETS	PTG	Shufflers	ETS	PTG	Shufflers	ETS
Alabama	Alabama Charitable - Greene County	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Alabama Charitable - Houston County	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Alabama Charitable - Macon County	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Arkansas	Arkansas State Tracks	No	No	No	Yes	Yes	Yes	5	5	5
Colorado	Colorado State	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Delaware	Delaware State Lottery	No	No	No	Yes	Yes	Yes	14	14	14
Florida	Florida State Tracks	No	No	No	No	No	No	N/A	N/A	N/A
Georgia	Georgia State	No	No	No	No	No	No	N/A	N/A	N/A
Illinois	Illinois Lottery - Video Gaming	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Illinois State Riverboat	No	No	No	Yes	Yes	Yes	10	10	10
Indiana	Indiana State	Yes	No	No	Yes	Yes	Yes	10	10	10
Iowa	Iowa State	No	No	No	Yes	Yes	Yes	5	5	5
Kansas	Kansas State Lottery	No	No	No	Yes	Yes	Yes	15	15	15
Louisiana	Louisiana State Cruise	No	No	No	No	No	No	N/A	N/A	N/A
	Louisiana State Land-Based	No	No	No	Yes	Yes	Yes	14	14	14
	Louisiana State Lottery	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Louisiana State Riverboat	No	No	No	Yes	Yes	Yes	14	14	14
	Louisiana State Tracks	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Maine	Maine State	No	No	N/A	Yes	No	N/A	14	N/A	N/A
Maryland	Maryland State	No	No	No	Yes	Yes	Yes	1	1	1
Michigan	Michigan State	Yes	No	No	Yes	No	Yes	5	N/A	5
Mississippi	Mississippi State Charitable	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Mississippi State Riverboat & Electronic Video Bingo	Yes	No	Yes	Yes	No	Yes	5	N/A	5
Missouri	Missouri State Riverboat	No	No	No	Yes	No	Yes	5	N/A	5
Montana	Montana State	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Nevada	Nevada State	No	No	No	No	No	No	N/A	N/A	N/A
New Jersey	New Jersey State	No	No	No	No	No	No	No	No	No
New Mexico	New Mexico State Lottery	No	No	No	No	No	No	N/A	N/A	N/A
	New Mexico State Tracks	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
New York	New York State Gaming Commission (Lottery)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
North Carolina	North Carolina Raffle	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Ohio	Ohio Lottery	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Ohio State	No	Yes	Yes	Yes	Yes	Yes	7	7	7
Oklahoma	Oklahoma State Tracks	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Oregon	Oregon State Lottery	No	No	No	N	No	No	No	No	No
Pennsylvania	Pennsylvania State	No	No	No	Yes	Yes	Yes	1	1	1
Rhode Island	Rhode Island State Lottery	No	No	No	Yes	Yes	Yes	1	1	1
South Dakota	South Dakota State	No	No	No	Yes	Yes	Yes	1	1	1
	South Dakota State Lottery	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
West Virginia	West Virginia State Limited Lottery	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	West Virginia State Lottery	No	No	No	Yes	Yes	Yes	5	5	5

Please Note: Many state regulations do not distinguish between slot machines and other electronic gaming machines, yet regulators often apply different procedures to them. Consequently, some of the information in these tables is drawn from experience and verbal exchanges with regulators in specific jurisdictions, and is not recorded in any written standards.

*PTG: Proprietary Table Games, ETS: Electronic Table Systems

Authorization Required Before Shipment?	Allow to Ship Complete?	Allow Shipment Directly to Customer?	Require Dedicated Truck?			Notice Required Before Removal?			Notice Required on Non-Gaming Components?	Permissible Vehicles for Notice Submission			
										Upload to Electronic Database	Send via E-mail	Send via Fax	Send Hard Copy through Mail
			PTG	Shufflers	ETS	PTG	Shufflers	ETS					
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
Yes	Yes	Yes	No	No	No	Yes	Yes	Yes	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	Yes	Yes	Yes	No				
No	No	Yes	Yes	No	Yes	Yes	Yes	Yes	No	•			
No	Yes	Yes	No	No	No	Yes	No	Yes	No				
No	No	Yes	No	No	No	Yes	Yes	Yes	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
Yes	No	Yes	No	No	No	Yes	Yes	Yes	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
Yes	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	Yes	N/A	N/A	No		•		
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	Yes	Yes	No	No	No	Yes	N/A	Yes	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
Yes	No	Yes	No	No	No	Yes	No	Yes	No		•		
Yes	No	Yes	No	No	No	Yes	N/A	No	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	No	No	No	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
Yes	Yes	Yes	No	No	No	Yes	Yes	Yes	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	N/A	No	No	No	No	No	No	No				
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	Yes	Yes	No	No	No	No	No	No	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No		•		

Appendix E: Shipping Rules for Other Electronic Gaming Machines – Tribal Authorities

Area	Agency	Written Requirements Include PTG*, Shufflers and ETS*?			Advance Notice Required?			Number of Days Advance Notice Required		
		PTG	Shufflers	ETS	PTG	Shufflers	ETS	PTG	Shufflers	ETS
Alabama	Poarch Creek Indians	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Arizona	Ak-Chin Indian Community	No	No	No	Yes	Yes	Yes	7	7	7
	Ak-Chin Indian Community Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Arizona Service Center	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Arizona State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Cocopah Indian Tribe	Yes	Yes	Yes	Yes	Yes	Yes	5	5	5
	Colorado River Indian Tribes	No	No	No	Yes	Yes	N/A	5	7	N/A
	Fort McDowell Yavapai Nation	No	No	No	Yes	Yes	Yes	5	5	5
	Fort Mojave Indian Tribe	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Fort Yuma Quechan Tribe	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Gila River Indian Community	Yes	Yes	Yes	Yes	Yes	Yes	7	7	7
	Navajo Nation	Yes	Yes	Yes	Yes	Yes	Yes	15	15	15
	Pascua Yaqui Tribe	No	No	No	Yes	Yes	Yes	7	7	7
	Salt River Pima-Maricopa Indian Community	No	No	No	Yes	Yes	Yes	7	7	7
	San Carlos Apache Tribe	Yes	Yes	Yes	Yes	Yes	Yes	5	5	5
	Tohono O'odham Nation	No	No	No	Yes	Yes	Yes	5	5	5
	Tonto Apache Tribe	No	No	No	Yes	Yes	Yes	5	5	5
	White Mountain Apache Tribe	Yes	Yes	Yes	Yes	Yes	Yes	5	5	5
	Yavapai-Apache Nation	No	No	No	Yes	Yes	Yes	5	5	5
	Yavapai-Prescott Indian Tribe	No	No	No	Yes	Yes	Yes	5	5	5
California	Agua Caliente Band of Cahuilla Indians	No	No	No	No	No	No	N/A	N/A	N/A
	Alturas Rancheria	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Augustine Band of Cahuilla Missions Indians	No	No	No	Yes	Yes	Yes	2	2	2
	Barona Band of Mission Indians	No	No	No	No	No	No	1	1	1
	Bear River Band of Rohnerville Rancheria	No	No	No	No	No	No	1	1	1
	Berry Creek Rancheria of Maidu Indians	No	No	No	No	No	No	5	5	5
	Big Sandy Rancheria of Mono Indians	No	No	No	No	No	No	No	No	No
	Big Valley Rancheria of Pomo Indians	No	No	No	No	No	No	No	No	No
	Bishop Paiute Tribe	No	No	No	Yes	Yes	Yes	5	5	5
	Blue Lake Rancheria Tribe	No	No	No	No	No	No	5	5	5
	Cabazon Band of Mission Indians	No	No	No	No	No	No	5	5	5
	Cahto Tribe	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Cahuilla Band of Indians	No	No	No	Yes	Yes	Yes	1	1	1
	California Service Center	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	California State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Campo Kumeyaay Nation	No	No	No	Yes	Yes	Yes	2	2	2
	Cashil Dehe Band of Wintun Indians	No	No	No	Yes	Yes	Yes	5	5	5
	Chemehuevi Indian Tribe	No	No	No	Yes	Yes	Yes	7	7	7
	Chicken Ranch Band of Me-Wuk Indians	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Coyote Valley Tribe	No	No	No	No	No	No	No	No	No
	Dry Creek Rancheria Band of Pomo Indians	No	No	No	Yes	Yes	Yes	1	1	1
	Elk Valley Rancheria	No	No	No	Yes	Yes	Yes	5	5	5
	Fort Yuma Quechan Tribe	No	No	No	Yes	Yes	Yes	7	7	7
	Hoop Valley Tribe	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Hopland Band of Pomo Indians	Yes	Yes	Yes	Yes	Yes	Yes	1	1	1
	Jackson Rancheria Band of Miwuk Indians	No	No	No	Yes	Yes	Yes	1	1	1
	La Jolla Band of Luiseño Indians	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	La Posta Band of Diegueno Mission Indians	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Lytton Rancheria Band of Pomo Indians Class II	No	No	No	No	No	No	No	No	No
	Manchester Band of Pomo Indians	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Middletown Rancheria Band of Pomo Indians	Yes	Yes	Yes	Yes	Yes	Yes	5	5	5
	Mooretown Rancheria of Maidu Indians	No	No	No	No	No	No	No	No	No
	Morongo Band of Mission Indians	No	No	Yes	Yes	Yes	Yes	1	1	1
	Morongo Band of Mission Indians Class II	No	No	Yes	Yes	Yes	Yes	1	1	1
	Pala Band of Mission Indians	No	No	No	No	No	No	N/A	N/A	14
	Pala Band of Mission Indians Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Paskenta Band of Nomlaki Indians	No	No	No	Yes	Yes	Yes	1	1	1
	Pauma Yuima Band of Mission Indians	No	No	No	No	Yes	Yes	N/A	2	2
	Pechanga Band of Luiseño Indians	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Please Note: Many state regulations do not distinguish between slot machines and other electronic gaming machines, yet regulators often apply different procedures to them. Consequently, some of the information in these tables is drawn from experience and verbal exchanges with regulators in specific jurisdictions, and is not recorded in any written standards.

*PTG: Proprietary Table Games, ETS: Electronic Table Systems

Authorization Required Before Shipment?	Allow to Ship Complete?	Allow Shipment Directly to Customer?	Require Dedicated Truck?			Notice Required Before Removal?			Notice Required on Non-Gaming Components?	Permissible Vehicles for Notice Submission			
			PTG	Shufflers	ETS	PTG	Shufflers	ETS		Upload to Electronic Database	Send via E-mail	Send via Fax	Send Hard Copy through Mail
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	Yes	Yes	Yes	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	Yes	Y/N	Yes	Yes	No				
No	No	Yes	No	No	No	Yes	Yes	Yes	No				
No	No	Yes	No	No	No	N	N	N	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	Yes	Yes	Yes	No				
Y/N	No	Yes	No	No	No	Yes	Yes	Yes	No				
No	No	Yes	No	No	No	Yes	Yes	Yes	No				
No	No	Yes	No	No	No	Yes	Yes	Yes	No				
No	No	Yes	No	No	Yes	Y/N	Yes	Yes	No				
No	No	Yes	No	No	Yes	Y/N	Yes	Yes	No				
No	No	Yes	No	No	No	Yes	Yes	Yes	No				
No	No	Yes	No	No	Yes	Yes	Yes	Yes	No				
No	No	Yes	No	No	No	Yes	Yes	Yes	No				
No	No	Yes	No	No	No	No	No	No	No				
No	No	Yes	No	No	No	No	Yes	Yes	No				
Yes	No	Yes	No	No	Yes	Yes	Yes	Yes	No				
No	No	Yes	N/A	N/A	No	N/A	N/A	Yes	No				
No	No	Yes	No	No	No	No	No	No	No				
Yes	No	Yes	N/A	N/A	No	Yes	Yes	Yes	No				
Yes	Yes	Yes	N/A	N/A	No	Yes	Yes	Yes	No				
Yes	No	Yes	N/A	N/A	No	No	No	Yes	No				
No	No	Yes	No	No	No	No	No	No	No				
No	No	Yes	No	No	No	No	No	No	No				
Yes	No	Yes	N/A	N/A	No	Yes	Yes	Yes	No				
Yes	No	Yes	N/A	N/A	No	Yes	Yes	Yes	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No				
N/A	Yes	Yes	N/A	N/A	N/A	N/A	N/A	N/A	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	No	No	No	No				
No	No	Yes	No	No	No	No	No	No	No				
No	No	Yes	No	No	No	No	No	No	No				
Yes	No	Yes	No	No	No	No	No	Yes	No				
No	No	Yes	No	No	No	No	No	No	No				
No	No	Yes	No	No	No	No	No	No	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
Yes	No	Yes	No	No	No	No	No	Yes	No				
No	No	Yes	No	No	No	No	No	No	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	No	No	No	No	No	No	N/A	No				
Yes	No	Yes	No	No	No	No	No	Yes	No				
No	No	Yes	No	No	No	No	No	No	No				
No	No	Yes	No	No	No	No	No	No	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
Yes	No	Yes	No	No	No	No	No	Yes	No				
No	No	Yes	No	No	No	No	No	No	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	No	No	No	No	No	No	No	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	Yes	Yes	Yes	No				
No	No	Yes	No	No	No	No	No	No	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				

Appendix E: Shipping Rules for Other Electronic Gaming Machines – Tribal Authorities

Area	Agency	Written Requirements Include PTG*, Shufflers and ETS*?			Advance Notice Required?			Number of Days Advance Notice Required		
		PTG	Shufflers	ETS	PTG	Shufflers	ETS	PTG	Shufflers	ETS
California	Pechanga Band of Luiseño Indians Class II & III	N/A	N/A	N/A	Yes	Yes	Yes	3	3	3
	Picayune Rancheria of the Chukchansi Indians	No	No	No	Yes	Yes	Yes	5	5	5
	Picayune Rancheria of the Chukchansi Indians Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Pit River Tribe	No	No	No	No	No	No	No	No	No
	Redding Rancheria	No	No	No	No	No	No	No	No	No
	Resighini Rancheria	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Rincon Band of Luiseño Indians	No	No	No	No	No	No	No	No	No
	Rincon Band of Luiseño Indians Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Robinson Rancheria Pomo Indians	No	No	No	Yes	Yes	Yes	1	1	1
	San Manuel Band of Mission Indians	No	No	No	Yes	Yes	Yes	1	1	1
	San Manuel Band of Mission Indians Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	San Pasqual Band of Mission Indians	No	No	No	No	No	No	N/A	N/A	N/A
	San Pasqual Band of Mission Indians Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Santa Rosa Rancheria (Tachi Yokut Tribe)	No	No	No	Yes	Yes	Yes	1	1	1
	Santa Rosa Rancheria (Tachi Yokut Tribe) Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Santa Ynez Band of Chumash Indians Class II & III	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Santa Ysabel Band of Diegueño Indians	No	No	No	No	No	No	No	No	No
	Sherwood Valley Rancheria of Pomo Indians	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Shingle Springs Band of Miwok Indians	No	No	No	Yes	Yes	Yes	3	3	3
	Smith River Rancheria	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Soboba Band of Luiseño Indians	No	No	No	No	No	No	N/A	N/A	N/A
	Susanville Indian Rancheria	No	No	No	Yes	Yes	Yes	1	1	1
	Sycuan Band of the Kumeyaay Nation	No	No	No	Yes	Yes	Yes	2	2	2
	Sycuan Band of the Kumeyaay Nation Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Table Mountain Rancheria	No	No	No	Yes	Yes	Yes	15	15	15
	Torres Martinez Desert Cahuilla Indians	No	No	No	No	No	No	No	No	No
	Trinidad Rancheria (Cher-Ae Heights Indian Community)	No	No	No	No	No	No	No	No	No
	Tule River Tribe	No	No	No	Yes	Yes	Yes	5	5	5
	Tuolumne Band of Me-Wuk Indians	No	No	No	Yes	Yes	Yes	1	1	10
	Twenty-Nine Palms Band of Mission Indians	No	No	No	No	No	No	N/A	N/A	N/A
	United Auburn Indian Community	No	No	No	Yes	Yes	Yes	1	1	1
	United Auburn Indian Community Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Viejas Band of Kumeyaay Indians	No	No	No	Yes	Yes	Yes	2	2	2
	Yocha Dehe Wintun Nation	No	No	No	No	Yes	Yes	N/A	1	1
	Yocha Dehe Wintun Nation Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Colorado	Southern Ute Indian Tribe	No	No	No	Yes	Yes	Yes	1	1	1
	Ute Mountain Ute Tribe	No	No	No	Yes	Yes	Yes	1	1	1
Connecticut	Connecticut State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Mashantucket Pequot Tribal Nation	No	No	No	No	No	No	N/A	N/A	N/A
	Mohegan Tribe	No	No	No	Yes	Yes	Yes	1	1	1
Florida	Miccosukee Tribe of Indians of Florida Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Seminole Tribe of Florida	No	No	No	Yes	Yes	Yes	2	2	2
	Seminole Tribe of Florida Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Idaho	Coeur d'Alene Tribe	No	No	No	Yes	Yes	N/A	5	5	5
	Kootenai Tribe of Idaho	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Nez Perce Tribe	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Shoshone-Bannock Tribes	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Iowa	Omaha Tribe of Nebraska	No	No	No	Yes	Yes	Yes	10	10	10
	Sac & Fox Tribe of the Mississippi in Iowa (Meskwaki Nation)	No	No	No	Yes	Yes	Yes	5	5	5
	Winnebago Tribe of Nebraska	No	No	No	Yes	Yes	Yes	7	7	7
Kansas	Iowa Tribe of Kansas & Nebraska	No	No	No	Yes	Yes	Yes	3	3	3
	Kansas State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Kickapoo Tribe in Kansas	No	No	No	Yes	Yes	Yes	14	14	14
	Prairie Band Potawatomi Nation	Yes	Yes	Yes	Yes	Yes	Yes	14	14	14

(Continued)

Authorization Required Before Shipment?	Allow to Ship Complete?	Allow Shipment Directly to Customer?	Require Dedicated Truck?			Notice Required Before Removal?			Notice Required on Non-Gaming Components?	Permissible Vehicles for Notice Submission			
			PTG	Shufflers	ETS	PTG	Shufflers	ETS		Upload to Electronic Database	Send via E-mail	Send via Fax	Send Hard Copy through Mail
N/A	No	Yes	No	No	No	Yes	Yes	Yes	No		.		
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No				
No	No	Yes	No	No	No	No	No	No	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No			.	
No	No	Yes	No	No	No	No	No	No	No				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	N/A	No	No	No	No	No	No	No			.	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No			.	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		.		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				

Appendix E: Shipping Rules for Other Electronic Gaming Machines – Tribal Authorities

Area	Agency	Written Requirements Include PTG*, Shufflers and ETS*?			Advance Notice Required?			Number of Days Advance Notice Required			
		PTG	Shufflers	ETS	PTG	Shufflers	ETS	PTG	Shufflers	ETS	
Kansas	Sac & Fox Nation of the Missouri in Kansas & Nebraska	No	No	No	Yes	Yes	Yes	14	14	14	
	Wyandotte Nation of Oklahoma for Kansas Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Louisiana	Chitimacha Tribe of Louisiana	No	No	No	Yes	Yes	Yes	10	10	10	
	Coushatta Tribe of Louisiana	Yes	Yes	Yes	Yes	Yes	Yes	10	10	10	
	Jena Choctaw Gaming Commission	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
	Louisiana State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Michigan	Tunica-Biloxi Tribe of Louisiana	Yes	Yes	Yes	Yes	Yes	Yes	5	5	5	
	Bay Mills Indian Community	No	No	No	No	No	No	N/A	N/A	N/A	
	Grand Traverse Band of Ottawa & Chippewa Indians	No	No	No	Yes	Yes	Yes	3	3	10	
	Gun Lake Tribe (Match-E-Be-Nash-She-Wish Band of Pottawatomi Indians)	No	No	No	Yes	Yes	Yes	1	1	1	
	Hannahville Indian Community	No	No	No	Yes	Yes	Yes	1	1	1	
	Keweenaw Bay Indian Community	No	No	No	No	No	No	N/A	N/A	N/A	
	Lac Vieux Desert Band of Lake Superior Chippewa Indians	No	No	No	Yes	Yes	Yes	5	5	5	
	Little River Band of Ottawa Indians	No	No	No	Yes	Yes	Yes	5	5	5	
	Little Traverse Bay Bands of Odawa Indians	No	No	No	Yes	Yes	Yes	5	5	5	
	Nottawaseppi Huron Band of the Potawatomi	No	No	No	Yes	Yes	Yes	5	5	5	
	Pokagon Band of Potawatomi Indians	Yes	Yes	Yes	Yes	Yes	Yes	5	5	5	
	Saginaw Chippewa Indian Tribe	No	No	No	Yes	Yes	Yes	5	7	7	
	Sault Tribe of Chippewa Indians	Yes	Yes	Yes	Yes	Yes	Yes	7	7	7	
	Minnesota	Bois Forte Band of Chippewa	No	No	No	Yes	Yes	Yes	3	3	3
		Fond du Lac Band of Lake Superior Chippewa	No	No	No	No	No	No	N/A	N/A	N/A
		Grand Portage Band of Lake Superior Chippewa	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Leech Lake Band of Lake Superior Chippewa		No	No	No	Yes	Yes	Yes	14	14	14	
Lower Sioux Indian Community		No	No	No	Yes	Yes	Yes	1	1	1	
Mille Lacs Band of Ojibwe		Yes	Yes	Yes	Yes	Yes	Yes	5	5	5	
Minnesota Service Center		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Minnesota State Tribal		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Prairie Island Indian Community		No	No	No	Yes	Yes	Yes	7	7	7	
Red Lake Band of Chippewa Indians		No	No	No	Yes	Yes	Yes	10	10	10	
Shakopee Mdewakanton Sioux (Dakota) Community		No	No	No	Yes	Yes	Yes	5	5	5	
Upper Sioux Community		Yes	Yes	Yes	Yes	Yes	Yes	5	5	5	
White Earth Nation		No	No	No	No	No	No	N/A	N/A	N/A	
Mississippi		Mississippi Band of Choctaw Indians	No	No	No	Yes	Yes	Yes	1	1	1
Montana	Crow Tribe	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
	Montana State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
New Mexico	Acoma Pueblo	No	No	No	Yes	Yes	Yes	5	5	5	
	Jicarilla Apache Nation	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
	Mescalero Apache Tribe Class II & III	No	No	No	Yes	Yes	Yes	7	7	7	
	Navajo Nation	Yes	Yes	Yes	Yes	Yes	Yes	15	15	15	
	New Mexico State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
	Ohkay Owingeh Pueblo	No	No	No	No	No	No	N/A	N/A	N/A	
	Pueblo of Isleta	No	No	No	Yes	Yes	Yes	5	5	5	
	Pueblo of Laguna	No	No	No	Yes	Yes	Yes	5	5	5	
	Pueblo of Pojoaque	No	No	No	Yes	Yes	Yes	5	5	5	
	Pueblo of San Felipe	No	No	No	Yes	Yes	Yes	5	5	5	
	Pueblo of Sandia	No	No	No	Yes	Yes	Yes	5	5	5	
	Pueblo of Santa Ana	No	No	No	Yes	Yes	Yes	5	5	5	
	Pueblo of Tesuque	No	No	No	Yes	Yes	Yes	10	10	10	
	Santa Clara Pueblo	Yes	Yes	Yes	Yes	Yes	Yes	5	5	5	
Taos Pueblo	No	No	No	Yes	Yes	Yes	7	7	7		

(Continued)

Authorization Required Before Shipment?	Allow to Ship Complete?	Allow Shipment Directly to Customer?	Require Dedicated Truck?			Notice Required Before Removal?			Notice Required on Non-Gaming Components?	Permissible Vehicles for Notice Submission			
			PTG	Shufflers	ETS	PTG	Shufflers	ETS		Upload to Electronic Database	Send via E-mail	Send via Fax	Send Hard Copy through Mail
No	No	Yes	No	No	No	No	No	No	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
Yes	Yes	Yes	No	No	No	Yes	Yes	Yes	No			•	
Yes	No	Yes	No	No	No	No	No	No	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
Yes	Yes	Yes	No	No	No	Yes	Yes	Yes	No		•	•	
No	No	Yes	No	No	No	No	No	No	No				
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	No	Yes	No	No	No	No	No	No	No			•	
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
Yes	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	Yes	Yes	No	No	No	No	No	No	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No			•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
Yes	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	No	No	No	No				
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•	•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•	•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•	•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•		

Appendix E: Shipping Rules for Other Electronic Gaming Machines – Tribal Authorities

Area	Agency	Written Requirements Include PTG*, Shufflers and ETS*?			Advance Notice Required?			Number of Days Advance Notice Required		
		PTG	Shufflers	ETS	PTG	Shufflers	ETS	PTG	Shufflers	ETS
New York	New York State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Oneida Indian Nation	No	No	No	Yes	Yes	Yes	5	5	5
	Seneca Nation of Indians	No	No	No	Yes	Yes	Yes	5	5	5
	St. Regis Mohawk Tribe	No	No	No	Yes	Yes	Yes	3	3	3
	St. Regis Mohawk Tribe Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
North Carolina	Eastern Band of Cherokee Indians	No	No	No	Yes	Yes	Yes	10	10	10
	North Carolina State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
North Dakota	North Dakota State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Sisseton-Wahpeton Oyate	No	No	No	Yes	Yes	Yes	7	7	7
	Spirit Lake Nation	No	No	No	Yes	Yes	Yes	2	2	2
	Standing Rock Sioux Tribe	No	No	No	Yes	Yes	Yes	5	5	5
	Three Affiliated Tribes (MHA Nation)	No	No	No	Yes	Yes	Yes	7	7	7
Oklahoma	Turtle Mountain Band of Chippewa Indians	No	No	No	Yes	Yes	Yes	2	2	2
	Absentee Shawnee Tribe	No	No	No	Yes	Yes	Yes	5	5	5
	Apache Tribe of Oklahoma	No	No	No	Yes	Yes	Yes	5	5	5
	Cherokee Nation of Oklahoma	No	No	No	Yes	Yes	Yes	7	7	7
	Cheyenne and Arapaho Tribes	No	No	No	Yes	Yes	Yes	5	5	5
	Chickasaw Nation	No	No	No	Yes	Yes	Yes	5	5	5
	Choctaw Nation	No	No	No	Yes	Yes	Yes	7	7	7
	Citizen Potawatomi Nation	No	No	No	Yes	Yes	Yes	5	5	5
	Comanche Nation	No	No	No	Yes	Yes	Yes	7	7	7
	Delaware Nation	No	Yes	No	Yes	Yes	Yes	5	5	5
	Eastern Shawnee Tribe	No	No	No	Yes	Yes	Yes	10	10	10
	Fort Sill Apache Tribe	No	No	No	Yes	Yes	Yes	10	10	10
	Iowa Tribe of Oklahoma	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Kaw Nation	No	Yes	No	Yes	Yes	Yes	7	7	7
	Kickapoo Tribe of Oklahoma	No	No	No	No	No	No	No	No	No
	Kiowa Tribe of Oklahoma	No	No	No	Yes	Yes	Yes	5	5	5
	Miami Tribe of Oklahoma	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Modoc Tribe of Oklahoma	No	No	No	Yes	Yes	Yes	3	3	3
	Muscogee (Creek) Nation	No	No	No	No	Yes	Yes	N/A	10	10
	Oklahoma Service Center	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Oklahoma State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Osage Nation	No	No	No	Yes	Yes	Yes	10	10	10
	Otoe-Missouria Tribe	No	No	No	Yes	Yes	Yes	10	10	10
	Ottawa Tribe of Oklahoma	No	No	No	Yes	Yes	Yes	5	5	5
	Pawnee Nation of Oklahoma	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Peoria Tribe of Indians	No	No	No	No	Yes	No	10	10	10
	Ponca Tribe of Oklahoma	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Quapaw Tribe of Oklahoma	No	Yes	No	Yes	Yes	Yes	10	10	10
	Sac and Fox Nation of Oklahoma	No	No	No	Yes	Yes	Yes	5	5	5
	Seminole Nation of Oklahoma	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Seneca Cayuga Tribe	No	Yes	Yes	No	Yes	Yes	N/A	2	10
	Thlopthlocco Tribal Town	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Tonkawa Tribe	No	No	No	Yes	Yes	Yes	5	5	5	
Wichita & Affiliated Tribes	No	No	No	Yes	Yes	Yes	14	14	14	
Wyandotte Nation of Oklahoma	No	Yes	Yes	Yes	Yes	Yes	2	2	10	
Oregon	Burns Paiute Tribe	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Confederated Tribes of Grand Ronde	No	No	No	Yes	Yes	Yes	10	10	10
	Confederated Tribes of Siletz Indians	No	No	No	Yes	Yes	Yes	10	10	10
	Confederated Tribes of the Coos, Lower Umpqua & Siuslaw Indians	No	No	No	Yes	Yes	Yes	10	10	10
	Confederated Tribes of the Umatilla Indian Reservation	No	No	No	Yes	Yes	Yes	10	10	10
	Confederated Tribes of Warm Springs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Coquille Indian Tribe	No	No	No	Yes	Yes	Yes	10	10	10
	Cow Creek Band of Umpqua Tribe of Indians	No	No	No	Yes	Yes	Yes	10	10	10
	Klamath Tribes	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Oregon State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Continued)

Authorization Required Before Shipment?	Allow to Ship Complete?	Allow Shipment Directly to Customer?	Require Dedicated Truck?			Notice Required Before Removal?			Notice Required on Non-Gaming Components?	Permissible Vehicles for Notice Submission			
			PTG	Shufflers	ETS	PTG	Shufflers	ETS		Upload to Electronic Database	Send via E-mail	Send via Fax	Send Hard Copy through Mail
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	No	No	No	No			•	
Yes	Yes	Yes	No	No	No	Yes	Yes	Yes	No				•
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No			•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	No	No	No	No				•
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No				•
No	Yes	Yes	No	No	No	No	No	No	No			•	
No	No	Yes	No	No	No	No	No	No	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
No	No	Yes	No	No	No	No	No	No	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
Yes	No	Yes	No	No	No	Yes	Yes	Yes	No	•		•	
No	No	Yes	No	No	No	No	No	No	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No	•		•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
No	Yes	Yes	No	No	No	No	No	No	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No				•
No	No	Yes	No	Yes	Yes	No	No	No	No			•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No			•	
Yes	No	Yes	No	No	No	No	No	No	No			•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	Yes	Yes	No	No	No	No			•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No			•	
Yes	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
No	No	Yes	No	No	No	No	Yes	Yes	No			•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	Yes	Yes	Yes	No			•	
No	No	Yes	No	No	No	No	No	No	No			•	
No	No	Yes	No	No	No	No	No	No	No			•	
No	Yes	Yes	No	No	No	No	No	No	No			•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				

Appendix E: Shipping Rules for Other Electronic Gaming Machines – Tribal Authorities

Area	Agency	Written Requirements Include PTG*, Shufflers and ETS*?			Advance Notice Required?			Number of Days Advance Notice Required			
		PTG	Shufflers	ETS	PTG	Shufflers	ETS	PTG	Shufflers	ETS	
South Dakota	Crow Creek Sioux Tribe	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
	Flandreau Santee Sioux Tribe	No	No	No	Yes	Yes	Yes	10	10	10	
	Lower Brule Sioux Tribe	No	No	No	Yes	Yes	Yes	1	1	1	
	Oglala Sioux Tribe	No	No	No	Yes	Yes	Yes	1	1	1	
	Rosebud Sioux Tribe	No	No	No	Yes	Yes	Yes	10	10	10	
	Sisseton-Wahpeton Oyate	No	No	No	Yes	Yes	Yes	7	7	7	
	South Dakota State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
	Standing Rock Sioux Tribe	No	No	No	Yes	Yes	Yes	5	5	5	
	Yankton Sioux Tribe	No	No	No	Yes	Yes	Yes	1	1	1	
Texas	Kickapoo Traditional Tribe of Texas	No	No	No	Yes	Yes	Yes	7	7	7	
Washington	Chehalis Tribe	No	No	No	No	No	No	N/A	N/A	N/A	
	Confederated Tribes of the Colville Reservation	No	No	No	Yes	Yes	Yes	1	1	1	
	Jamestown S'Klallam Tribe	No	No	No	No	No	No	N/A	N/A	N/A	
	Kalispel Tribe of Indians	No	No	No	No	No	No	N/A	N/A	N/A	
	Lummi Nation	No	No	No	Yes	Yes	Yes	1	1	1	
	Muckleshoot Indian Tribe	No	No	No	Yes	Yes	Yes	14	14	14	
	Nisqually Indian Tribe	No	No	No	Yes	Yes	Yes	1	1	1	
	Nooksack Indian Tribe	No	No	No	Yes	Yes	Yes	3	3	3	
	Port Gamble S'Klallam Tribe	No	No	No	Yes	Yes	Yes	5	5	5	
	Puyallup Tribe of Indians	No	No	No	Yes	Yes	Yes	10	10	10	
	Quinault Indian Nation	No	No	No	No	No	No	N/A	N/A	N/A	
	Shoalwater Bay Indian Tribe	No	No	No	Yes	Yes	Yes	3	3	3	
	Skokomish Indian Tribe	No	No	No	Yes	Yes	Yes	1	1	1	
	Snoqualmie Tribe	No	No	No	Yes	Yes	Yes	5	5	5	
	Spokane Tribe	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
	Squaxin Island Tribe	No	No	No	Yes	Yes	Yes	1	1	1	
	Stillaguamish Tribe of Indians	No	No	No	Yes	Yes	Yes	7	7	7	
	Suquamish Tribe	No	No	No	Yes	Yes	Yes	2	2	2	
	Swinomish Indian Tribal Community	No	No	No	Yes	Yes	Yes	7	7	7	
	Tulalip Tribes	No	No	No	Yes	Yes	Yes	1	1	1	
	Upper Skagit Tribe	No	No	No	Yes	Yes	Yes	7	7	7	
	Washington State Tribal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
	Washinton Service Center	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
	Yakama Nation	No	No	No	Yes	Yes	Yes	10	10	10	
	Wisconsin	Bad River Band of the Lake Superior Tribe of Chippewa Indians	No	No	No	Yes	Yes	Yes	1	1	1
		Forest County Potawatomi Community	No	No	No	Yes	Yes	Yes	10	10	10
		Ho-Chunk Nation	No	No	No	Yes	Yes	Yes	1	1	1
Lac Courte Oreilles Band of Lake Superior Chippewa		No	No	No	Yes	No	Yes	1	N/A	1	
Lac du Flambeau Band of Lake Superior Chippewa Indians		No	No	No	Yes	Yes	Yes	7	7	7	
Menominee Indian Tribe of Wisconsin		No	No	No	Yes	Yes	Yes	1	1	1	
Oneida Nation of Wisconsin		No	No	No	Yes	Yes	Yes	10	10	10	
Red Cliff Band of Lake Superior Chippewa		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Sokaogon Chippewa Community		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
St. Croix Chippewa Indians of Wisconsin		No	No	No	Yes	Yes	Yes	10	10	10	
Stockbridge-Munsee Community (Band of Mohican Indians)		Yes	Yes	Yes	Yes	Yes	Yes	10	10	10	
Wisconsin State Tribal		No	No	No	Yes	Yes	Yes	1	1	1	
Wyoming		Eastern Shoshone Tribe	No	No	No	Yes	Yes	Yes	7	7	7
	Northern Arapaho Tribe	Yes	Yes	Yes	Yes	Yes	Yes	5	5	5	
	Northern Arapaho Tribe Class II	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	

(Continued)

Authorization Required Before Shipment?	Allow to Ship Complete?	Allow Shipment Directly to Customer?	Require Dedicated Truck?			Notice Required Before Removal?			Notice Required on Non-Gaming Components?	Permissible Vehicles for Notice Submission			
			PTG	Shufflers	ETS	PTG	Shufflers	ETS		Upload to Electronic Database	Send via E-mail	Send via Fax	Send Hard Copy through Mail
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	No	Yes	No	No	No	No	No	No	No		•		
No	Yes	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
No	Yes	Yes	No	No	No	No	No	No	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	No	No	No	No		•		
No	Yes	Yes	No	No	No	No	No	No	No			•	
No	No	Yes	No	No	No	No	No	No	No			•	
No	Yes	Yes	No	No	No	No	No	No	No				
No	No	Yes	No	No	No	No	No	No	No				
No	Yes	Yes	No	No	No	No	No	No	No		•		
No	Yes	No	No	No	No	Yes	Yes	Yes	No		•		
No	Yes	Yes	No	No	No	No	No	No	No		•		
No	Yes	Yes	No	No	No	No	No	No	No		•		
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	No	Yes	No	No	No	No	No	No	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	No	No	No	No		•		
No	Yes	Yes	No	No	No	No	No	No	No		•		
No	Yes	Yes	No	No	No	No	No	No	No		•		
No	Yes	Yes	No	No	No	No	No	No	No			•	
No	No	Yes	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	No	No	No	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No			•	
No	No	Yes	No	No	No	No	No	No	No			•	
No	No	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	No	Yes	No	No	No	No	No	No	No			•	
No	No	Yes	No	No	No	No	No	No	No			•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No		•		
No	N/A	N/A	No	No	No	No	No	No	No		•		
No	No	Yes	No	No	No	No	Yes	Yes	No		•		
No	No	No	No	No	No	No	No	No	No		•	•	
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				

Appendix F: Shipping Rules for Other Electronic Gaming Machines – Other North American Jurisdictions

Area	Agency	Written Requirements Include PTG*, Shufflers and ETS*?			Advance Notice Required?			Number of Days Advance Notice Required		
		PTG	Shufflers	ETS	PTG	Shufflers	ETS	PTG	Shufflers	ETS
Bahamas	Bahamas	No	No	No	No	No	No	N/A	N/A	N/A
Canada	Alberta Lottery	No	No	No	Yes	Yes	Yes	1	1	1
	British Columbia Lottery	No	No	No	Yes	Yes	Yes	1	1	1
	Manitoba	No	No	No	No	No	No	N/A	N/A	N/A
	New Brunswick	No	No	No	Yes	Yes	Yes	5	5	5
	Nova Scotia	No	No	No	No	No	No	No	No	No
	Ontario	No	No	No	Yes	Yes	Yes	1	1	1
	Quebec	No	No	No	Yes	Yes	Yes	1	1	1
	Quebec Lottery	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Saskatchewan	No	No	No	Yes	Yes	Yes	1	1	1
	Saskatchewan Lottery	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Puerto Rico	Puerto Rico State	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Virgin Islands	U.S. Virgin Islands (St. Croix) State	No	No	No	Yes	Yes	Yes	1	1	1

Please Note: Many state regulations do not distinguish between slot machines and other electronic gaming machines, yet regulators often apply different procedures to them. Consequently, some of the information in these tables is drawn from experience and verbal exchanges with regulators in specific jurisdictions, and is not recorded in any written standards.

*PTG: Proprietary Table Games, ETS: Electronic Table Systems

Authorization Required Before Shipment?	Allow to Ship Complete?	Allow Shipment Directly to Customer?	Require Dedicated Truck?			Notice Required Before Removal?			Notice Required on Non-Gaming Components?	Permissible Vehicles for Notice Submission			
										Upload to Electronic Database	Send via E-mail	Send via Fax	Send Hard Copy through Mail
			PTG	Shufflers	ETS	PTG	Shufflers	ETS					
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No		•		
Yes	Yes	Yes	No	No	No	No	No	No	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
Yes	Yes	Yes	N/A	N/A	N/A	Yes	Yes	Yes	N/A		•		
No	No	N/A	No	No	No	No	No	No	No				
No	Yes	Yes	No	No	No	Yes	Yes	Yes	No				
No	No	Yes	No	No	No	No	No	No	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	No	No	No	No		•		
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A				
No	Yes	Yes	No	No	No	No	No	No	No		•		

About the Author

David O. Stewart joined Ropes & Gray as a partner in 1989 to begin a litigation group in the Washington, D.C. office. His experience in complex litigation includes appellate and Supreme Court litigation, antitrust and commercial disputes, white-collar criminal defense work, health care law, gaming law and a variety of challenges to government regulation and enforcement.

David has served as principal counsel in federal jury trials, state court trials, administrative proceedings, numerous appeals, and the impeachment trial of Judge Walter L. Nixon, Jr. before the U.S. Senate. David argued before the Supreme Court in *Ludwig v. Variable Annuity Life Insurance*, 115 S. Ct. 810 (1995), concerning the power of national banks to sell annuities, and also argued for the petitioner in *United States v. Nixon*, 506 U.S. 224 (1993).

David lectures to professional groups on topics including antitrust, gaming law, health care law, money laundering, cable television litigation and white-collar criminal issues.



AMERICAN GAMING ASSOCIATION

1299 Pennsylvania Avenue, NW

Suite 1175

Washington, DC 20004

202-552-2675

www.americangaming.org